

219.40420X00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s): Anil AGGARWAL et al.**

**Serial No.: 09/965,295**

**Filed: September 28, 2001**

**For: A MECHANISM FOR ALLOWING MULTIPLE ENTITIES ON THE SAME HOST TO HANDLE MESSAGES OF SAME SERVICE CLASS IN A CLUSTER**

**Group: Not Yet Assigned**

**Examiner: Not Yet Assigned December 11, 2001**

**Attention: Application Processing Division  
Special Processing and Correspondence Branch**

**SUBMISSION OF DECLARATION AND ASSIGNMENT**

**Assistant Commissioner  
for Patents  
Washington, D.C. 20231**

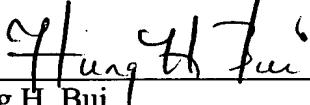
**Sir:**

**The Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted  
dated October 25, 2001, in connection with the above-identified application is acknowledged.  
A copy of the Notice is attached hereto.**

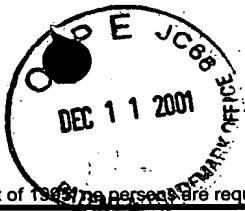
**Attached hereto is a declaration in compliance with 37 CFR §1.63 and a credit card  
payment form including the amount of \$170.00 in payment of the surcharge set forth in 37  
CFR §1.16(e) as required in the Notice, and also for the submission of the Recordation Cover  
Sheet for submission of the Assignment document.**

Please charge any shortage in fees due in connection with the filing of this paper to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Referencing case number 219.40420X00), and please credit any excess fees thereto.

Respectfully submitted,

  
\_\_\_\_\_  
Hung H. Bui  
Registration No. 40,415  
ANTONELLI, TERRY, STOUT & KRAUS, LLP

HHB:srm  
(703) 312-6600



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SEARCHED  
Searched by [Signature]

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 170.00)

C mpt te If Known	
Application Number	09/965,295
Filing Date	September 28, 2001
First Named Inventor	AGGARWAL, ANIL
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	219.40420X00

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
 Deposit Account Number 01-2135  
 Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP  
 Charge Any Additional Fee Required  
 Under 37 CFR 1.16 and 1.17  
 Applicant Claims small entity status.  
 See 37 CFR 1.27

2.  Payment Enclosed:  
 Check  Credit Card  Money Order  Other

## FEE CALCULATION

### 2. BASIC FILING FEE

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee
106	330	206	165	Design filing fee
107	510	207	255	Plant filing fee
108	740	208	370	Reissue filing fee
114	160	214	80	Provisional filing fee
<b>SUBTOTAL (1)</b>				

### 1. EXTRA CLAIM FEES

Total Claims	-20**	=	Extra Claims	Fee from below	Fee Paid
Total Claims	-20**	=	x	=	
Indep. Claims	-3**	=	x	=	
Multiple Dependent		=			
Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2) \$</b>					

\*\*or number previously paid, if greater; For Reissues, see above.

3. ADDITIONAL FEES		Fee Description	Fee Paid
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	
105	130	205	65 Surcharge – late filing fee or oath
127	50	227	25 Surcharge – late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examination action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	920	217	460 Extension for reply within third month
118	1,440	218	720 Extension for reply within fourth month
128	1,960	228	980 Extension for reply within fifth month
119	320	219	160 Notice of Appeal
120	320	220	160 Filing a brief in support of an appeal
121	280	221	140 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive – unavoidable
141	1,280	241	640 Petition to revive – unintentional
142	1,280	242	640 Utility issue fee (or reissue)
143	460	243	230 Design issue fee
144	620	244	310 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR 1.17(q)
126	180	126	180 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties) 40
146	740	246	370 For each additional invention to be examined (37 CFR § 1.129(a))
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify) <u>Notice to file Missing Parts of Application</u>			130
*Reduced by Basic Filing Fee Paid			<b>SUBTOTAL (3) (\$ 40)</b>

\*\*or number previously paid, if greater; For Reissues, see above.

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Hung H. Bu	Registration No. (Attorney/Agent)	40,415
Signature		Telephone	703-312-6600
		Date	12/11/2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,295	09/28/2001	Anil Aggarwal	219.40420X00

020457  
 ANTONELLI TERRY STOUT AND KRAUS  
 SUITE 1800  
 1300 NORTH SEVENTEENTH STREET  
 ARLINGTON, VA 22209

CONFIRMATION NO. 3918  
 FORMALITIES LETTER



\*OC00000006971561\*

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/13/2001 EABUBAK1 00000074 09965295

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